Reset Form

TD Ameritrade Institutional

IRA RECHARACTERIZATION FORM

Account # ______________________

Advisor Code ______________________

Case # ______________________

Not to be used for Roth Conversions. Please use Roth Conversion Form (TDAI 2424)

1 FILL IN THE NAME AS IT APPEARS ON YOUR TD AMERITRADE IRA/ROTH ACCOUNT

Account Name *(Name as it should appear on account)*:

Social Security Number:	Date of Birth:

2 YOU MUST COMPLETE THIS INFORMATION TO RECHARACTERIZE YOUR IRA/ROTH IRA CONTRIBUTION/CONVERSION

Recharacterization

Contribution *(Select one)*: ☐ Current Tax Year ☐ Prior Tax Year

OR

Conversion *(Select one)*: ☐ Current Tax Year ☐ Prior Tax Year

3 PLEASE COMPLETE THE FOLLOWING ACCOUNT ASSET INFORMATION

Delivering IRA *(Assets leaving the Delivering IRA are reported on Form 1099R)*	TD Ameritrade Account Number:

Please check one box: ☐ Traditional ☐ Roth ☐ SEP-IRA ☐ SIMPLE IRA

☐ Transfer All Assets

☐ Partial Transfer: Transfer the assets listed below *(Reported on 1099R)*

☐ Securities *(Please list below or attach listing)*

☐ Transfer All Assets and Close Account

☐ Cash $ ___________________________

Name of Asset:	Quantity of Shares *(Provide number of share, not value)*:

Receiving IRA *(Assets recharacterized to the Receiving IRA are reported on Form 5498)*:	TD Ameritrade Account Number:

Please check one box: ☐ Traditional ☐ Roth ☐ SEP-IRA ☐ SIMPLE IRA

4 SIGNATURE

Participant Signature: ____ Date: ____________________

By signing above, the Participant certifies, acknowledges, and understands the following:

- The contribution and earnings identified above are directed to be transferred in a Recharacterization Transfer from the Delivering IRA to the Receiving IRA.
- The above information is true and correct, including the Social Security number.
- The contribution and earnings are being transferred no later than the deadline for filing the Federal Income Tax return, including extensions, for the taxable year for which the regular contribution was originally made to the Delivering IRA (or, if the contribution being recharacterized is a conversion, by the deadline for filing the Federal Income Tax return, including extensions, for the taxable year during which the conversion amount was originally distributed (or transferred) from the Traditional IRA).
- The participant assumes full responsibility for any tax consequences that may arise as a result of this Recharacterization Notice and Direction to Transfer.
- No tax advice was given by the trustee/custodian of either the Delivering IRA or Receiving IRA.
- All decisions regarding this request are the participant's own.
- The trustee/custodian of the Delivering IRA is required to report this transfer to the IRS on Form 1099-R and the trustee/custodian of the Receiving IRA is required to report this transfer to the IRS on Form 5498.
- The participant is responsible to report this Recharacterization Transfer on IRS Form 8606 in accordance with applicable instructions.
- The trustee/custodians identified above have recommended that the participant seek advice from a tax advisor or the Internal Revenue Service to make certain that the participant qualifies for this recharacterization.
- This Recharacterization Notice cannot be revoked.
- The participant understands that this recharacterization request will be processed promptly upon receipt by TD Ameritrade Clearing, Inc. and that TD Ameritrade assumes no liability for the timing of such recharacterization and related tax consequences.

Mailing Address:
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TDAI 3076 REV. 03/17